



# CanaGlobe

COMPLIANCE SOLUTIONS INC.

ISSUE 25 NOVEMBER 2015

## The Compliance Connection

Public Company. Compliant Company.

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### Our Services Include:

- Corporate Secretary Services
- SEDAR Filings
- SEDI Filings
- Monthly TSX, CSE and AIM Reporting
- Compliance Consulting
- Stock Exchange Applications
- Whistleblowing

### **CanaGlobe Compliance Solutions Inc.**

CanaGlobe Compliance Solutions Inc. is a consulting firm providing corporate compliance and governance services to private and public companies.

We can help you navigate the maze of listing requirements mandated by stock exchanges and securities commissions, and take care of electronic regulatory filings.

In addition, we offer full Corporate Secretary Services and provide assistance in creating Corporate Governance policies for our clients.

Email: [info@canaglobecompliance.com](mailto:info@canaglobecompliance.com)

Website: [www.canaglobecompliance.com](http://www.canaglobecompliance.com)

### **OSC Rule 13-502 Fees**

As announced earlier this year, as of April 6, 2015 the Ontario Securities Commission ("OSC") has implemented new forms to be used by reporting issuers when calculating their OSC Participation Fee which is payable with the filing of the audited financial statements.

The updated forms can be found [on the OSC website](#).

### **ASC Proposes New Fee Regime**

On November 2, 2015, The Alberta Securities Commission (ASC) published for comment a proposed ASC Rule 13-501 *Fees*, which would replace the ASC's current fee schedule. The comment period will end January 18, 2016.

The complete notice can be found [HERE](#).

### **SEDI Password Reset Now Available Online**

If you are an insider and you have forgotten your SEDI password you can now reset it online. In this case, you can select the "Forgot Your Password" link from the [SEDI user login page](#) and an email will be sent with a link to reset your password upon successfully answering your confidential question provided on your user profile.

Please note that the email will be sent to the email address included in your profile so it is important to update this to a personal email that you can easily access from various devices and through employment/directorship changes.



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Don't forget to visit our Blog at:

[http://](http://theconnection.wordpress.com)

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## **SEDAR and SEDI Profile Updates**

With a new year just around the corner this is good time to take a look at your company's SEDAR profile for accuracy and to update any out of date information. Ask your SEDAR filing agent to provide you with a copy of your full profile as there are some information fields that are not seen on the public website.

This would also be an opportune time to review the company's SEDI profile including archiving unused/outdated security designations and to ensure issuer events are up to date. Additionally, some of our clients close the "review" loop by sending a reminder to their reporting insiders to check and update their personal profiles as well.

## **CanaGlobe on Social Media**

Did you know that you can get even more information on compliance and governance by subscribing to our blog and following us on LinkedIn?

Our blog focuses on important compliance and governance issues for public companies while our LinkedIn feed features a weekly series of "briefs" on governance and compliance issues for public and private companies and not for profit organizations ("NFP's"). Each post provides an overview on the topic, why your company may want to review/implement it and we highlight issues specific to publically traded companies, private companies and NFP's. At the end of each post we provide resources to help further guide you if you would like to dive deeper into the subject.

We also have a twitter account that documents our adventures around town, so if you are interested to see what we're up to when we're not at work, give us a follow!



## **CCGG 2015 Best Practices for Proxy Circular Disclosure**

The Canadian Coalition for Good Governance ("CCGG") has published to their website the latest edition in their best practices series, *2015 Best Practices for Proxy Circular Disclosure*.

You can find a copy of the document [HERE](#).

## **Continuous Disclosure Filing Calendar**

You can find the filing dates for the annual and interim financial statements for venture and non venture issuer's on the [Ontario Securities Commission website](#).

A PDF version of the calendar is also available on the same page.

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